



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants: E. Colgan et al.  
Docket No.: JP919990123US1  
Serial No.: 09/662,192  
Filing Date: September 14, 2000  
Group: 2871  
Examiner: Prasad R. Akkapeddi

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: Lisa L. Tulpis

Date: January 14, 2004

Title: Liquid Crystal Light Valve and Method for Producing Same, and Liquid Crystal Projection Display Device

TRANSMITTAL OF APPEAL BRIEF

Mail Stop Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are the following documents relating to the above-identified patent application:

- (1) Appeal Brief in triplicate (original and two copies); and
- (2) Copy of Notice of Appeal, filed on November 12, 2003, with copy of stamped return postcard indicating receipt of Notice by PTO on November 14, 2003.

Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$330 to cover this submission under 37 CFR §1.17(c). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter and two copies of the Appeal Brief are enclosed.

Respectfully submitted,

Wayne L. Ellenbogen

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Date: January 14, 2004

Receipt in the USPTO is hereby acknowledged of:

Notice of Appeal - (Orig. & 1 copy)  
Petition for Extension of Time Under  
37 C.F.R. §1.136(a) - (Orig. & 1 copy)

November 12, 2003  
JP919990123US1  
Serial No. 09/662,192  
1500-389



# NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number (Optional)

JP919990123US1

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Signature

Typed or printed  
name

Vita Bencivenni

In re Application of  
E. Colgan et al

Application Number  
09/662,192

Filed  
September 14, 2000

For Liquid Crystal Light Valve and Method for Producing Same, and  
Liquid Crystal Projection Display Device

Art Unit  
2871

Examiner  
Prasad R. Akkapeddi

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 330.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ \_\_\_\_\_
- ☐ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0510. I have enclosed a duplicate copy of this sheet.
- ☒ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

- ☐ applicant/inventor.
- ☐ assignee of record of the entire interest.  
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)
- ☒ attorney or agent of record. 43,602  
Registration number
- ☐ attorney or agent acting under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a).

Wayne L. Ellenbogen  
Signature

Wayne L. Ellenbogen

Typed or printed name

(516) 759-7662

Telephone number

November 12, 2003

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.